DECLARATION AND POWER OF TORNEY FOR PATENT APPLICATION

AUS 2 9 2000 STATE OF THE PROPERTY OF THE PROP

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEMS AND METHODS FOR TRADING

the spec	ification of which		
(check	[] is attached he	reto	
·		No. <u>09/553,423</u>	as
	and was amended on	(if applicable)	•

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I do not know and do not believe that the invention was ever patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application.

I do not know and do not believe that the invention was in public use or on sale in the United States of America more than one year prior to this application.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

			Priority <u>Claimed</u>	
(Number)	(Country)	(Day/Month/Year Filed)	[] Yes	[] No
(Number)	(Country)	(Day/Month/Year Filed)	[] Yes	No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

60/131,992	<u>April 30, 1999</u>
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(patented, abandoned)
(Application Serial No.)	(Filing Date)	(patented, abandoned)

As a named inventor, I hereby appoint the following attorneys or agents to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith:

 Laurence S. Rogers (Reg. No. 28,465);
 Jeffrey H. Ingerman (Reg. No. 31,069); and
 Matthew T. Byrne (Reg. No. 40,934).

Send correspondence to:

Matthew 1. Byrne (212) 596-9000

Full name of first inventor Stuart A. Fraser	
First Inventor's signature	14-00
Residence 18 Maple Way, Armonk, New York 10504	Date
Citizenship <u>USA</u>	
Post Office Address <u>same</u>	
Full name of second joint inventor Andrew C. Gilbert	
Second Inventor's signature	6/12/0
Residence 5 Scarlet Oak, Califon, New Jersey 07830	' D a te
Citizenship <u>USA</u>	
Post Office Address same	
Full name of third joint inventor Philip M. Ginsberg	
Third Inventor's signature	
Residence 25 Broad Street, Penthouse C, New York,	Date
New York 10004	
Citizenship <u>USA</u>	
Post Office Address same	

10 g	
	Full name of fourth inventor Glenn D. Krwin
•	Tall hame of fourth inventor of the Nilwin
	Fourth Inventor's signature
	Date Residence <u>55 Fayette Road, Scarsdale, New York 10583</u>
	Citizenship <u>USA</u>
	Post Office Address <u>same</u>
	Full name of fifth joint inventor <u>Howard W. Lutnick</u>
	Fifth Inventor's signature
	Date Residence 200 E. 69th Street, Penthouse B, New York,
	New York 10021
	Citizenship <u>USA</u>
	Post Office Address <u>same</u>
	Full name of sixth joint inventor Michael E. Williams
	Sixth Inventor's signature
	Date Residence <u>Sand Spring Road, Morristown, New Jersey 07960</u>
	Citizenship <u>USA</u>
	Post Office Address <u>same</u>

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Full name of second joint inventor Andrew C. Gilbert	
Second Inventor's signature	6/12/0
Residence 5 Scarlet Oak, Califon, New Jersey 07830	, pate
Citizenship <u>USA</u>	
Post Office Address <u>same</u>	
Full name of third joint inventor Philip M. Ginsberg	
Third Inventor's signature	
Residence 25 Broad Street, Penthouse C, New York,	Date
New York 10004	
Citizenship <u>USA</u>	
Post Office Address same	

Full name of fourth inventor Glenn D. Krwin	
Fourth Inventor's signature 6/22/0	0
Residence <u>55 Fayette Road, Scarsdale, New York 10583</u>	
Citizenship <u>USA</u>	
Post Office Address same	
Full name of fifth joint inventor Howard W. Lutnick	
Fifth Inventor's signature	
Date Residence <u>200 E. 69th Street, Penthouse B, New York,</u>	
New York 10021	
Citizenship <u>USA</u>	
Post Office Address <u>same</u>	
Full name of sixth joint inventor Michael E. Williams	
Sixth Inventor's signature	
Date Residence <u>Sand Spring Road, Morristown, New Jersey 07960</u>	
Citizenship <u>USA</u>	
Post Office Address same	

CF/002



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

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Priority
Claimed

(Number) (Country) (Day/Month/Year Filed) Yes No

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60/131,992 April 30, 1999
(Application Serial No.) (Filing Date)

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Citizenship <u>USA</u>
Post Office Address <u>same</u>
Full name of third joint inventor Philip Mo Ginsberg
Third Inventor's signature \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
Residence 25 Broad Street, Penthouse C, New York,
New York 10004
Citizenship <u>USA</u>
Post Office Address same

j.	Full name of fourth inventor <u>Glenn D. Kirwin</u>
	Fourth Inventor's signature
	Residence <u>55 Fayette Road, Scarsdale, New York 10583</u>
	Citizenship <u>USA</u> Post Office Address <u>same</u>
	Full name of fifth joint inventor Howard W. Lutnick
	Fifth Inventor's signature
	Residence 200 E. 69th Street, Penthouse B, New York, New York 10021
	Citizenship <u>USA</u>
	Post Office Address <u>same</u>
	Full name of sixth joint inventor Michael E. Williams
	Sixth Inventor's signature
	Residence <u>Sand Spring Road</u> , <u>Morristown</u> , <u>New Jersey 07960</u> Citizenship <u>USA</u>
	Post Office Address <u>same</u>

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Prior Fore	ign Applicatio	n(s)		Priority <u>Claimed</u>
(Number)	(Country)	(Day/Month/Year	Filed)	[][] Yes No
(Number)	(Country)	(Day/Month/Year	Filed)	[][] Yes No
Code § 119	laim the benef (e) of any Uni n(s) listed be	it under Title 3 ted States provi	35, United Isional	l States
60/131 (Applicati	,992 on Serial No.)	April 30, (Filing Da		
code, \$ 12 and, insofthis application. Title 35, to disclose all informations defined which became application.	O of any United ar as the subject cation is not on in the manner United States (et o the United ation known by in Title 37, (et o available bect of the United States)	it under Title 3 d States applica ect matter of ea disclosed in the c provided by th Code, § 112, I a d States Patent me to be materi Code of Federal etween the filin onal or PCT inte	ation(s) lach of the prior Under first packnowledge and Trade al to pate generation date of	isted below claims of ited States aragraph of e the duty mark Office entability ns, \$ 1.56 the prior
(Application	on Serial No.)	(Filing Date)	(Status) pending,	(patented, abandoned)
(Applicatio	on Serial No.)	(Filing Date)	(Status) pending,	(patented, abandoned)
attorneys of transact al	or agents to pr .l business in Office connecte		plication es Patent	and
	Jeffrey H. Ing	gers (Reg. No. erman (Reg. No. ne (Reg. No. 40	31,069);	and
Send corres	pondence to:	Matthew T.	Byrne	

Matthew T. Byrne (212) 596-9000

Full name of first inventor <u>Stuart A. Fraser</u>	
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Second Inventor's signature	6/12/00
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Post Office Address <u>same</u>	
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Third Inventor's signature	
Residence 25 Broad Street, Penthouse C, New York,	Date
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Citizenship <u>USA</u>	
Post Office Address same	

سمر, (و)	Full name or fourth inventor <u>Glenn D. Kirwin</u>	
	Fourth Inventor's signature	
		Date
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	Post Office Address <u>same</u>	
		_
	Full name of fifth joint inventor Howard W. Lutnick	
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UG 14 2000 4:43 PM FR CANTOR FITZGERALD12 938 2584 TO 9011442078947850 P.07

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I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

CF/002

			Prio <u>Clai</u>	
(Number)	(Country)	(Day/Month/Year Filed)	[] Yes	[] No
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